

Corporate Account Opening Form

CORPORATE DETAILS	
Name of Corporation	Business Registration No.
Registered Address	
Business Address (if different from the above)	
Country of Incorporation	Date of Incorporation (DD/MM/YYYY)
Bumiputera Status <input type="checkbox"/> Malaysia Bumiputra Controlled <input type="checkbox"/> Malaysia Non-Bumiputra Controlled <input type="checkbox"/> Foreign Controlled	
Entity Type <input type="checkbox"/> Private Limited <input type="checkbox"/> Limited <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Association/Club/Society <input type="checkbox"/> Trust <input type="checkbox"/> Government Agency	
Nature of Business <input type="checkbox"/> Accommodation and Food Service Activities <input type="checkbox"/> Information and Communication <input type="checkbox"/> Agriculture, Forestry and Fishing <input type="checkbox"/> Manufacturing <input type="checkbox"/> Audit/Accounting/Tax/Legal/Company Secretary <input type="checkbox"/> Mining and Quarrying <input type="checkbox"/> Casino/Betting/Gambling Business <input type="checkbox"/> Money Services Business <input type="checkbox"/> Construction <input type="checkbox"/> Non-Governmental Organization (NGO)/Charity <input type="checkbox"/> Dealers in Previous Goods <input type="checkbox"/> Offshore Banking/Offshore Trust <input type="checkbox"/> Education <input type="checkbox"/> Pawnbroker <input type="checkbox"/> Engineering <input type="checkbox"/> Real Estate / Property <input type="checkbox"/> Night Club, Karaoke, Lounge, Sauna, Bar <input type="checkbox"/> Transportation and Storage <input type="checkbox"/> Financial and Insurance Activities <input type="checkbox"/> Wholesale and Retail Trade <input type="checkbox"/> Government/Regulatory Authority <input type="checkbox"/> Others, please specify _____	
Estimated Net Worth <input type="checkbox"/> Below RM1 mil <input type="checkbox"/> RM1 mil – RM 3mil <input type="checkbox"/> RM3 mil – RM 5 mil <input type="checkbox"/> RM5mil – RM 10mil <input type="checkbox"/> Above RM10mil	
Source of Funds <input type="checkbox"/> Business Income <input type="checkbox"/> Capital Injection <input type="checkbox"/> Investment Income <input type="checkbox"/> Disposal of Assets <input type="checkbox"/> Others, please specify _____	

CORPORATE DETAILS (Continue)

Investment Objective

 Growth Income Speculation Hedging Others, please specify _____

Investment Experience

 0 – 1 year 1 – 3 years 3 – 5 years 5 – 10 years Above 10 years**CONTACT PERSON'S DETAILS**

Contact Person (1)

Name of Contact Person

Designation

Contact No.

Email

Contact Person (2)

Name of Contact Person

Designation

Contact No.

Email

BANK ACCOUNT DETAILS

Name of Bank

Bank Account No.

Bank Account Name

SUBSTANTIAL SHAREHOLDERS' DETAILS (WITH 25% SHAREHOLDING OR MORE)	
Substantial Shareholder (1)	
Full Name	Designation in Company
Nationality	Date of Birth (DD/MM/YYYY)
Identification No.	
Residential Address	
Mailing Address (if different from the above)	
Contact No.	Email
Substantial Shareholder (2)	
Full Name	Designation in Company
Nationality	Date of Birth (DD/MM/YYYY)
Identification No.	
Residential Address	
Mailing Address (if different from the above)	
Contact No.	Email

BENEFICIAL OWNER DECLARATION

A "beneficial owner" means the natural person who ultimately owns or controls the customer or the natural person on whose behalf a transaction is being conducted or business relations are established, and includes any person who exercises ultimate effective control over a legal person or legal arrangement.

- We declare that there are no beneficial owners apart from those stated in the 'Substantial Shareholders' Details above.
- We declare that there are beneficial owners apart from those stated in the 'Substantial Shareholders' Details above and their information are as follow:

Beneficial Owner (1)

Full Name

Designation in Company

Nationality

Date of Birth (DD/MM/YYYY)

Identification No.

Residential Address

Mailing Address (if different from the above)

Contact No.

Email

Beneficial Owner (2)

Full Name

Designation in Company

Nationality

Date of Birth (DD/MM/YYYY)

Identification No.

Residential Address

Mailing Address (if different from the above)

Contact No.

Email

POLITICALLY EXPOSED PERSON (PEP) DECLARATION

Politically Exposed Person (PEP) means the natural person who is or has been entrusted with prominent public functions domestically or in a foreign country or an international organisation. Family member or close association of PEP are deemed PEPs.

- We declare that none of the directors, substantial shareholders, beneficial owners and authorized persons, or their family members, or their known close associates are PEPs.
- We declare that one or more of the directors, substantial shareholders, beneficial owners and authorized persons, or their family members, or their known close associates are PEPs, and their information are as follows:

Full Name	Identification No.	Designation in Company (if applicable)	Relationship with PEP (if applicable)	Prominent Public Function

QUESTIONNAIRE

1. Do any of your shareholders with 25% ownership or more have a spouse who is existing customer of Moomoo Malaysia? Yes No

If yes, please provide details:

Name of Shareholder's Spouse	Moomoo Account No.

2. Does your company act on behalf of another person? Yes No
If yes, please complete a Wolfsberg Group Financial Crime Compliance Questionnaire (FCCQ).

Client's Declaration and Signature

1. I/We declare that all information disclosed here is true, accurate and complete.
2. I/We agree to open a Margin Account with Moomoo Securities Malaysia Sdn. Bhd (Moomoo MY).
3. I/We have received, read, and agreed to be bounded by the terms and conditions of Moomoo MY Client Agreement.
4. I/We have received, read, and agreed to the Terms and Conditions of CDS Account and agree to maintain the account as prescribed by Moomoo MY or its authorised nominees under the relevant local regulatory requirements.
5. I/We have received, read, and agreed to be bounded by the terms and conditions as stated in Moomoo MY Privacy Policy.
6. I/We have received, read and understood the terms and conditions and risk disclosure statements.
7. I/We have received, read, and agreed to the Appendix IX: Compliance With the Relevant FEP Rules of the Moomoo Securities Malaysia Sdn. Bhd. Client's Agreement. (For Malaysian Residents only)
8. For the purpose of due diligence and/ or credit history screening, I/we further authorise Moomoo MY to obtain and verify any information of my/our financial activities and credit standings with any credit reference agencies as Moomoo MY may deem fit and appropriate under any applicable law, including the Credit Reporting Agencies Act 2010.
9. I/We acknowledge that securities, brokerage products, and related services are offered through Moomoo MY, which is licensed and regulated by the Securities Commission Malaysia and moomoo app is the network application.
10. I/We acknowledge that all investments involve risk and Moomoo MY does not make investment recommendations.
11. I/We declare that I am/We are the sole beneficiary owner(s) of the account and responsible for all trading decisions in my/our Account.
12. I/We acknowledge that I am/We are responsible for reviewing account statements and confirmations and Moomoo MY will not monitor my/our Account.

List of Disclosure Documents for Account Opening

i	Client Agreement	https://www.moomoo.com/my/support/topic9_132?global_content=%7B%22promote_id%22%3A14079%2C%22sub_promote_id%22%3A1%7D
ii	Terms and Conditions of the Opening and Operation of CDS Account	
iii	Privacy Policy	
iv	Risk Disclosure Statements	

Signature of Authorised Signatory (1)

Name:

Date:

Signature of Authorised Signatory (2)

Name:

Date:

Company stamp or common seal

Documents Checklist

Forms Required

1. Corporate Account Application Form
2. Self-Certification form - Entity
3. Self-Certification form – Controlling Person (if applicable)
4. Relevant US IRS forms (if applicable)
5. Wolfsberg Group Financial Crime Compliance Questionnaire (if the entity invests on behalf of its clients)

Supporting Documents Required

1. Certificate of Incorporation
2. Company Profile/Official Search/Register Report. *(Example: SSM, LFSA, ACRA, ICRIS, etc)*
3. Board resolution with specimen signature of authorised signatories
4. Memorandum and Articles of Association/Constitution *(note: If the company does not have an M&A or constitution, please provide a letter of declaration)*
5. Latest Notice of Change of Company Name (if applicable)
6. Latest Notice of Change of Registered Office (if applicable)
7. Latest Return of Allotment of Share
8. Latest Annual Return
9. Latest Audited Financial Statement/Annual Report
10. Return Giving Particulars in Register of Directors, Managers and Secretaries, and Changes of Particulars
11. Photocopy of NRIC or passport of following persons:
 - a. Directors
 - b. Shareholders with 25% shareholding or more
 - c. Authorised persons
 - d. Beneficial owners
12. Shareholding structure chart up to ultimate beneficial owner indicating full name and shareholding percentage

NOTES	
i.	All non-original supporting documents are to be certified true copy by the company secretary, Commissioner for Oaths, notary public, lawyer or a Moomoo MY licensed representative.
ii.	All certified documents need to be dated within 3 months
iii.	The list is non-exhaustive. Moomoo MY reserves the right to request additional documents as deemed necessary of the account opening.