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E-mail: settlement@futuau.com

### Stock Transfer Form (Broker-to-Broker)

To : Futu Securities (Australia) Ltd (or Futu AU)  
Settlement Department

Moomoo Account Name:				
Moomoo Account Number:		Transfer Type:		
		<input type="checkbox"/> Full portfolio <input type="checkbox"/> Only holdings listed below <b>*For a full portfolio transfer, please provide the most recent holding statement</b>		
<input type="checkbox"/> Please <b>receive</b> the following securities for my/our account <input type="checkbox"/> Please <b>deliver</b> the following securities from my/our account				
Client's Account Name with Delivering Firm:		Client's Account Number with Delivering Firm		
Registered Address:				
HIN (with delivering firm, if applicable):				
Delivering Firm's Name:				
Delivering Firm's Email Address:				
Market	Symbol/Ticker	Company Name	Quantity	Cost Price

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Market	Symbol/Ticker	Company Name	Quantity	Cost Price
<p><u>Checklist</u></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> The most recent holding statement (for a full portfolio transfer) has been provided.</li> <li><input type="checkbox"/> The name and address details on your current moomoo trading account are the same as those with the delivering firm.</li> <li><input type="checkbox"/> All security holders requesting this stock transfer have signed this document WITH AN INK PEN.</li> <li><input type="checkbox"/> There are no outstanding orders or pending trade settlements for the stocks you wish to transfer.</li> </ul>				
<p><u>Acknowledgement:</u></p> <p>I/We understand and acknowledge that all the information as shown on this form is complete and correct and agree that Futu AU will rely on this information when actioning the request. I/We agree to indemnify Futu AU against all expenses, fees and losses incurred as a result of Futu AU actioning your request. Refer to the <b>Financial Services Guide</b> for fees associated with this request.</p>				
Applicant's Signature			Date	
Applicant's Signature			Date	
Applicant's Signature			Date	

**For Office Use Only:**

Documents Reviewed	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Officer Name:		
Signature:	Date:	
Comment:		
Supervisor Approval	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Signature:	Date:	

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